

PATENT COOPERATION TREATY

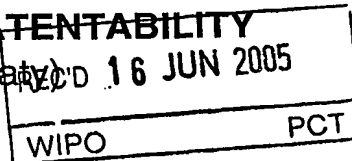
PCT


16 JUN 2005

INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

(Chapter II of the Patent Cooperation Treaty)

(PCT Article 36 and Rule 70)



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|--|--|---|--|--|
| Applicant's or agent's file reference BP 9912 | | FOR FURTHER ACTION | | See Form PCT/IPEA/416 |
| International application No. PCT/GB2004/001161 | | International filing date (day/month/year) 18.03.2004 | | Priority date (day/month/year) 27.03.2003 |
| International Patent Classification (IPC) or national classification and IPC B65D50/06 | | | | |
| Applicant CASTROL LIMITED | | | | |
| <p>1. This report is the International preliminary examination report, established by this International Preliminary Examining Authority under Article 35 and transmitted to the applicant according to Article 36.</p> <p>2. This REPORT consists of a total of 5 sheets, including this cover sheet.</p> <p>3. This report is also accompanied by ANNEXES, comprising:</p> <p>a. <input type="checkbox"/> sent to the applicant and to the International Bureau a total of sheets, as follows:</p> <p><input type="checkbox"/> sheets of the description, claims and/or drawings which have been amended and are the basis of this report and/or sheets containing rectifications authorized by this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions).</p> <p><input type="checkbox"/> sheets which supersede earlier sheets, but which this Authority considers contain an amendment that goes beyond the disclosure in the international application as filed, as indicated in Item 4 of Box No. I and the Supplemental Box.</p> <p>b. <input type="checkbox"/> (sent to the International Bureau only) a total of (Indicate type and number of electronic carrier(s)) , containing a sequence listing and/or tables related thereto, in computer readable form only, as indicated in the Supplemental Box Relating to Sequence Listing (see Section 802 of the Administrative Instructions).</p> | | | | |
| <p>4. This report contains indications relating to the following items:</p> <p><input checked="" type="checkbox"/> Box No. I Basis of the opinion</p> <p><input type="checkbox"/> Box No. II Priority</p> <p><input type="checkbox"/> Box No. III Non-establishment of opinion with regard to novelty, inventive step and industrial applicability</p> <p><input type="checkbox"/> Box No. IV Lack of unity of invention</p> <p><input checked="" type="checkbox"/> Box No. V Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement</p> <p><input type="checkbox"/> Box No. VI Certain documents cited</p> <p><input type="checkbox"/> Box No. VII Certain defects in the international application</p> <p><input type="checkbox"/> Box No. VIII Certain observations on the international application</p> | | | | |
| Date of submission of the demand 08.10.2004 | | Date of completion of this report 17.06.2005 | | |
| Name and mailing address of the international preliminary examining authority:  European Patent Office - P.B. 5818 Patentlaan 2 NL-2280 HV Rijswijk - Pays Bas Tel. +31 70 340 - 2040 Tx: 31 651 epo nl Fax: +31 70 340 - 3016 | | Authorized Officer Pernice, C Telephone No. +31 70 340-3084 | | |



**INTERNATIONAL PRELIMINARY REPORT
ON PATENTABILITY**

International application No.
PCT/GB2004/001161

Box No. I Basis of the report

1. With regard to the **language**, this report is based on the international application in the language in which it was filed, unless otherwise indicated under this item.
- ☐ This report is based on translations from the original language into the following language , which is the language of a translation furnished for the purposes of:
- ☐ international search (under Rules 12.3 and 23.1(b))
 - ☐ publication of the international application (under Rule 12.4)
 - ☐ international preliminary examination (under Rules 55.2 and/or 55.3)
2. With regard to the **elements*** of the international application, this report is based on *(replacement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to this report):*

Description, Pages

1-11 as originally filed

Claims, Numbers

1-16 as originally filed

Drawings, Sheets

1/4-4/4 as originally filed

- ☐ a sequence listing and/or any related table(s) - see Supplemental Box Relating to Sequence Listing
3. ☐ The amendments have resulted in the cancellation of:
- ☐ the description, pages
 - ☐ the claims, Nos.
 - ☐ the drawings, sheets/figs
 - ☐ the sequence listing (*specify*):
 - ☐ any table(s) related to sequence listing (*specify*):
4. ☐ This report has been established as if (some of) the amendments annexed to this report and listed below had not been made, since they have been considered to go beyond the disclosure as filed, as indicated in the Supplemental Box (Rule 70.2(c)).
- ☐ the description, pages
 - ☐ the claims, Nos.
 - ☐ the drawings, sheets/figs
 - ☐ the sequence listing (*specify*):
 - ☐ any table(s) related to sequence listing (*specify*):

* If item 4 applies, some or all of these sheets may be marked "superseded."

**INTERNATIONAL PRELIMINARY REPORT
ON PATENTABILITY**

International application No.
PCT/GB2004/001161

Box No. V Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

1. Statement

| | | |
|-------------------------------|-------------|---------------|
| Novelty (N) | Yes: Claims | 7, 8, 10-12 |
| | No: Claims | 1-6, 9, 13-16 |
| Inventive step (IS) | Yes: Claims | 10-12 |
| | No: Claims | 1-9, 13-16 |
| Industrial applicability (IA) | Yes: Claims | 1-16 |
| | No: Claims | |

2. Citations and explanations (Rule 70.7):

see separate sheet

**INTERNATIONAL PRELIMINARY
REPORT ON PATENTABILITY
(SEPARATE SHEET)**

International application No.

PCT/GB2004/001161

Re Item V.

- 1 The following documents are referred to in this communication:
D1: US 3 514 003 A (FITZGERALD MILLARD C) 26 May 1970 (1970-05-26)
D2: US 5 950 848 (BAUDIN GILLES) 14 September 1999 (1999-09-14)

2 INDEPENDENT CLAIM 1

- 2.1 The present application does not meet the criteria of Article 33(1) PCT, because the subject-matter of claim 1 is not new in the sense of Article 33(2) PCT.
Document D1 discloses (the references in parenthesis applying to this document):

A closure for a container which comprises a cap (10) and a locking ring (20); the cap being adapted in use to sealably engage the opening (31) of the outlet neck (30) of a container; and the locking ring being adapted in use to be mounted over the outlet neck (30) of said container and slidable between first and second positions, such that in use in the first position, the locking ring (20) engages said cap (10) and thereby prevents disengagement of said cap from said opening of said container and in the second position, the locking ring is disengaged from said cap thereby allowing said cap to disengage the opening of said outlet neck and retained in the second position on the outlet neck of said container (by gravity).

Claim 1 would be considered to be new and inventive if means for retaining said locking ring would have been defined, e.g. by the features of claim 10.

3 DEPENDENT CLAIMS 2-6, 9, 13-16

Dependent claims 2-6, 9, 13-16 do not contain any features which, in combination with the features of any claim to which they refer, meet the requirements of the PCT in respect of novelty (Article 33(2) and (3) PCT), see document D1 and the corresponding passages cited in the search report.

3.1 DEPENDENT CLAIMS 7, 8

**INTERNATIONAL PRELIMINARY
REPORT ON PATENTABILITY
(SEPARATE SHEET)**

International application No.

PCT/GB2004/001161

Dependent claims 7, 8 do not contain any features which, in combination with the features of any claim to which they refer, meet the requirements of the PCT in respect of inventive step (Article 33(2) and (3) PCT), see document D2 and the corresponding passages cited in the search report.

3.2 DEPENDENT CLAIMS 14-16

Claim 14-16 relate to a container and a closure as defined in claim 1-13 and therefore claims 14-16 have been regarded as being dependent on claims 1-13. Note however that, the novelty objection against claims 14-16 would remain the same should said claims be considered independent.

4 DEPENDENT CLAIMS 10-12

The combination of the features of dependent claims 10-12 are neither known from, nor rendered obvious by, the available prior art.